1	be accurate. All reports were corrected, and it was
2	brought to the attention of Jeff Ramirez.
3	When it was brought to the attention of
4	Jeff Ramirez, he seemed to do whatever needed to be
5	done regarding the public file when it was brought to
6	his attention, so I would say that that seems to be an
7	accurate statement to me.
8	Q It seems to be an accurate statement?
9	A Yes.
10	Q Okay. I just wanted to make sure I heard
11	you.
12	A Yes, an accurate statement.
13	Q With respect to Point 5, looking at
14	Enforcement Bureau Exhibit 21 after 5 Ms. Sawaya's
15	memo states, ownership reports are now completed and
16	current. Donor support for specific programs is non-
17	applicable. Issues and programs listings are current,
18	and back listings are in the process of being
19	completed to the best of our ability.
20	In terms of the back listings are in the
21	process of being completed to the best of our ability,
22	what is she talking about, so far as you know?

1	A What I believe she was discussing was the
2	work that I've been tasked to do regarding the Public
3	File, bring it up to out of conversations with our
4	attorneys to make sure that the Public File could
5	accurately respond to the FCC and say that the Public
6	File is up to date and current now.
7	What she was referring to was the task
8	I've been doing regarding the public file regarding
9	making the quarterly make sure there is a folder
10	for each quarter of the as far back as we could up
11	to the current time and right then at the end of 2000
12	and make sure that there was information about KALW's
13	issues and programs as best we could for every quarter
14	in that time right up to when the letter was being
15	drafted back to the FCC.
16	Q Do you have any knowledge as to
17	approximately how much time it took to prepare EB
18	Exhibit 21 in terms of the March 8, 2001, memo?
19	A I'm sorry, how long it took to prepare
20	what?
21	Q The memo, EB Exhibit 21, the memo. Do you
22	have any knowledge as to approximately how long it

1	took to prepare?
2	A I don't have I didn't prepare it, so I
3	don't recall how long it took to prepare it.
4	Q It wasn't something that you and Ms.
5	Sawaya talked about?
6	A On specific answers to questions, I don't
7	recall. We certainly talked about information that we
8	prepared that she had gotten from me, but I don't
9	recall specifically sitting down with her and saying,
10	all right, let's work on Number 1, let's work on
11	Number 2, let's work on Number 3.
12	Q Well, considering EB 17 which we had
13	talked about and where this was Mr. Sanchez sending an
14	e-mail to Susan Jenkins, and the e-mail read, Nicole,
15	Bill called today to review the work on the FCC's
16	questions. They will be sending draft answers by the
17	end of the week.
18	I was wondering with that in mind and that
19	e-mail and the conversation referred to was March 6,
20	and this memo, EB 21, we're looking at is now March
21	8 th , so it's two days later. With that in mind, I was
22	wondering if you had any knowledge as to how long it

1	took to prepare the memo that appears as EB Exhibit
2	21.
3	A I still don't I don't know what the
4	status of the information of her March 8 th memo was on
5	March 6, or if she had even started it yet, so I don't
6	know how long it took to create the memo.
7	Q Did you transmit EB Exhibit 21 and the
8	Ownership Reports that are attached thereto to Ms.
9	Jenkins?
10	The basis for my question is for your
11	counsel, and then you can look at it for us, EB
12	Exhibit 35, Page 1, the reference that appears
13	following March 15, 2001.
14	My question was did you transmit EB
15	Exhibit 21 and the attachments to Ms. Jenkins or Mr.
16	Sanchez?
17	A I don't recall actually doing the faxing
18	of it, but I very well might have.
19	Q Well, we get to EB Exhibit 35, Page 1, and
20	the reference to March 15, 2001, which under which
21	next to SMJ which would be Susan Jenkins reads,
22	reviewed memo and attachment from Ms. Sawaya and Mr.

	Hergeson for response to FCC reg public file. was
2	there did you send a separate response to Ms
3	Jenkins or Mr. Sanchez?
4	A I don't recall sending any separate
5	response to them, no.
6	JUDGE SIPPEL: He's been on the stand for
7	two hours and 15 minutes by my count. I think we
8	ought to take a little respite here, a little break.
9	THE WITNESS: Thank you, Your Honor.
10	JUDGE SIPPEL: All right let's say I
11	have almost quarter of. That's what my watch says.
12	MR. DUNCAN: We no longer have a clock.
13	JUDGE SIPPEL: I no longer have a clock,
14	so let's come back at just a little after 4. We're in
15	recess.
16	(Whereupon, the above-entitled matter went
17	off the record at 3:42 p.m. and resumed at 4:01 p.m.)
18	JUDGE SIPPEL: Mr. Helgeson, you're still
19	on the stand, and this is Page 3 to the 12 A Exhibit.
20	THE WITNESS: Yes, sir.
21	JUDGE SIPPEL: Thank you.
22	MR. SHOO: Mr. Helgeson, according to your
i	1

T	testimony at Page 12 Which is SFUSD Exhibit T2, Page
2	2, when you looked at the public inspection file in
3	August 2001, "it was a complete mess" and that here
4	basically on Line 6 in responding to the question,
5	what did you find when you looked at the PIF after
6	receiving the LOI.
7	Page 6 reads, when I looked at the PIF it
8	was a complete mess, nothing was organized, etcetera.
9	During your time as the stand-in General Manager
10	before Ms. Sawaya became the General Manager, had you
11	placed any documents in the Public Inspection File?
12	THE WITNESS: Prior to that referring
13	to that February inspection, I don't recall placing
14	documents in there during that time when I was the
15	stand in in late 2000 and beginning of 2001, placing
16	documents. I don't recall placing any documents.
17	BY MR. SHOOK
18	Q So on or about January 10 th you had not
19	placed in the January 10 th 2001, you had not placed
20	issues programs lists for the fall, 2000 quarter which
21	would have been October, November, December of 2000?
22	A At that time I don't recall placing those

1	in. I was just at that time still waiting for the new
2	General Manager to be I had been making my phone
3	calls downtown for a new general trying to find out
4	when they were going to assign a new General Manager,
5	and dealing with a staff that consisted of me and four
6	announcers, and by that time I had a fund-raising
7	coordinator.
8	It was not brought to my attention that I
9	recall that I should put that in the file, so I don't
LO	believe that I at that time put anything in the public
1	file for that period of time.
L2	Q I want to direct your attention now to
L3	Enforcement Bureau Exhibit 48.
L4	JUDGE SIPPEL: While we're turning to 48,
L5	I want to express my gratitude to whoever graciously
L6	put the clock back up on the wall.
L7	MR. PRICE: Well we'll see how long it
L8	lasts.
L9	JUDGE SIPPEL: Now I know who did it.
30	(Whereupon, the above-entitled matter went
21	off the record at 4:06 p.m. and resumed at 4:09 p.m.)
22	MR. SHOOK: Mr. Helgeson, This document

1	bears a date of March 20, 2001. It appears to be from
2	you. Did you prepare this document?
3	THE WITNESS: I remember preparing this
4	document, yes.
5	BY MR. SHOOK
6	Q Now, in March - on March 20, 2001, what
7	relationship does Jackie Wright have to you?
8	A Jackie Wright at that time was the school
9	district official who was charged with overseeing the
10	radio station.
11	Q How long had she held that position?
12	A She received that position early in 2001.
13	I'm not sure of the exact date, but prior to Nicole
14	Sawaya being offered the job, Jackie Wright got was
15	hired by the District as Director of Public, I believe
16	it's Office of Public Engagement and Information, and
17	as part of her duties she oversaw KALW.
18	Q So if Nicole Sawaya was your first-line
19	supervisor, Jackie Wright would have been your second-
20	line supervisor?
21	A In an organizational chart, I would say
22	that it would be me and then up to Nicole and then up

1	to Jackie Wright.
2	Q On March 20, 2001, what relationship does
3	David Campos have to you?
4	A Mr. Campos is an attorney with who
5	handles legal matters in the legal office of San
6	Francisco Unified School District.
7	Q With respect to this this was a what,
8	a memo that you typed?
9	A I probably did it on a computer.
10	Q This isn't an e-mail, right?
11	A No, this appears to me to be something I
12	typed on my computer. The way it's formatted, that
13	what it appears to be.
14	Q Now, about the middle of the memo, there
15	is a paragraph that reads, finally last month a letter
16	comes from the FCC asking some questions of KALW
17	management. Working with Nicole Sawaya, Mr. Sanchez
18	is answering these questions. Mr. Sanchez's opinion
19	is that this is a sign the FCC is probably going to be
20	ruling on the license challenge soon.
21	Now, you're telling Ms. Wright and Mr.
22	Campos that Nicole is working with Mr. Sanchez

2	A That's what I wrote here it appears, yes.
3	Q That was your understanding at the time?
4	A That was my understanding at the time. By
5	this time, she had been at KALW for by March 20 th
6	she had been there for three weeks, and she was
7	working with Ernie Sanchez because we knew the
8	response was due soon.
9	Q What understanding did you have, if any,
10	as to why you didn't tell Ms. Wright and Mr. Campos
11	that you were also working with Mr. Sanchez to answer
12	these questions?
13	A I would expect because at that time I
14	wasn't answering the I wasn't preparing answers to
15	the questions. I was informing them of the I was
16	informing Ms. Sawaya and our attorneys of the work I'd
17	been doing related to preparing documents and bringing
18	the Public File up making sure it was up to date,
19	but I actually wasn't preparing answers to those or
20	assisting I wasn't preparing any answers to the
21	questions. I didn't see my role as that.

answering these questions?

I'd like to direct your attention to EB

Q

22

1	Exhibit 23. Okay, I apologize. I should be referring
2	to SFUSD Exhibit 19. It's an identical document, but
3	ours has been stricken and yours is not.
4	JUDGE SIPPEL: Are we off the record?
5	Turn that recorder off.
6	WITNESS: No. I'm done. I'm okay. Okay.
7	MR. SHOOK: Did you read SFUSD Exhibit 19
8	on or about March 26, 2001?
9	THE WITNESS: I remember seeing this memo
10	about that time, yes.
11	BY MR. SHOOK
12	Q Did you agree with Nicole's assessment
13	that by March 26, 2001, the public file was then in
14	excellent order?
15	A I would say that about that time given
16	when we started when I started doing the document
17	preparation and so on in February that by about that
18	date, the 26 th , that would have been an accurate
19	answer.
20	Q In the six weeks previous to March 26,
21	2001, had you personally spent hours getting the
22	public file in order?

1	A I don't remember exactly how many hours I
2	had spent on it, and it was hours.
3	Q It was hours.
4	A But I don't recall I don't have a
5	calendar where I can tell you two hours here, or x
6	number of hours on this date.
7	Q Well, I mean, in the previous six weeks,
8	didn't it involve a substantial amount of your time?
9	A All together, it's hours. Again, I don't
10	remember how many hours I put in on it. I was
11	certainly working on other things at the station at
12	the very same time. It wasn't the only thing I was
13	working on.
14	Q Oh, right. Was it the most significant
15	project that you were working on in those six weeks
16	though?
17	A Gosh, I can't think of what else I was
18	working on that I would consider more significant.
19	Q I'd like to refer your attention now, Mr.
20	Helgeson, to SFUSD Exhibit 20. Excuse me, we're
21	getting our numbers mixed up here. EB Exhibit 24.
22	JUDGE SIPPEL: Get off the record, please.

1	Thank you.
2	(Whereupon, the above-entitled matter went
3	off the record at 4:19.m. and resumed at 4:20 p.m.)
4	Q Exhibit 24 on or about March 28, 2001?
5	A I believe that I did see this memo right
6	about that time. I see that I'm cc'd on it.
7	Q Did you understand that it was a response
8	from Mr. Sanchez to Ms. Sawaya's memo which requested
9	among other things she was wondering whether the reply
10	to the FCC had been written yet?
11	A It seems to be a response to her e-mail.
12	Q It reads, we expect to have a draft reply
13	ready by Monday and will share it with you and the
14	others. At that point did you have an understanding
15	that you were going to be reading some draft reply
16	from Mr. Sanchez?
17	A At that point I would have assumed that he
18	was going to be sending it, and somehow we were all
19	going to be he was sending it to all of us here,
20	and that it would be for our at the station and
21	SFUSD our feedback on it or to review it.
22	Q Now according to EB Exhibit 35, Page 2,

1	there's an entry for March 28, 2001, for SMJ which we
2	understand to be Susan Jenkins, and it says, work on
3	preparation of response to FCC; reviewed e-mails among
4	Mr. Sanchez, Ms. Sawaya, Mr. Helgeson; reviewed
5	documents provided by client.
6	First of all, do you know what e-mails
7	that Ms. Jenkins is referring to when it says e-mails
8	among Mr. Sanchez, Ms. Sawaya, and Mr. Helgeson?
9	A I'm not certain what specific e-mails she
LO	is referring to by just this comment on here.
11	Q When it says review documents provided by
12	client, do you know what she's referring to there?
13	This is now March 28, 2001.
14	A Just from that reference there, I don't
15	know which document which she's referring to, which
L6	specific ones, no, just from this line.
17	Q The next document I'd like to refer your
18	attention to is SFUSD Exhibit 14.
19	JUDGE SIPPEL: Is this the one that's
20	handwritten?
21	MR. SHOOK: Yes.
22	JUDGE SIPPEL: Go off the record.

1 (Whereupon, the above-entitled matter went off the record at 4:24 p.m. and resumed at 4:26 p.m.) 2 3 MR. SHOOK: We understand these notes to have been taken by Susan Jenkins, and they apparently 4 5 refer to a phone conversation with Bill on either March 29 or March 30 of 2001. The format of the notes 6 7 appears to be similar to or in trying to deal with the 8 FCC's letter questions 1 and 2. 9 Do you recall having a conversation with 10 Susan Jenkins going over the responses to the FCC's 11 inquiry letter, Questions 1 and 2? 12 THE WITNESS: I don't recall that we specifically went over -- I recall in my conversations 13 14 with them that I told them -- I informed that of what 15 we had been doing on the public file in bringing it up 16 to date now. I don't recall being asked for a 17 specific -- and from the information I gave them, they 18 were going to be format -- formulating answers to the 19 questions. 20 I've seen -- I've been getting ready for 2.1 this hearing, my attorneys have shown me a copy of 22 this. I'd never seen it until they showed it to me.

Т	From looking at it, it seems more that
2	it's not about I don't see where it's about one and
3	two. One and two were about the status of the file as
4	of 1997, I think, and these seem more about is the
5	public if you look at Question 5, again, I've been
6	reading all this quite a bit lately, it seems just
7	from looking at the handwritten notes that are here.
8	It's regarding the see the dates there go on and
9	through 97, 98, 99, 2000, 2001. That's in response to
10	the question, is the Public Information File up to
11	date and complete now.
12	That's how I'm that's my interpretation
13	of this, these notes of hers.
14	BY MR. SHOOK
15	Q Following Number 2. What about following
16	Number 1 though?
17	A Again, this referring this seems to be
18	referring to matters, again what's the public
19	information is the information in the Public
20	Information File up to date and complete now. It
21	seems to be answering that's best as I can read her

handwriting and see a few words here from this copy.

1	Q Right, I recognize that it's difficult.
2	The part that is catching my attention is following
3	Number 1. It reads, "all required renewal 90" and
4	then that's circled with a question mark above it,
5	"and 97," and then there's a parenthesis or some other
6	mark afterwards and "supplemental except supplemental
7	for 1995 to report results of November 1994 election."
8	This report was, in fact, filed and then it looks like
9	placed in public file on December 10, 1997.
10	Is that something that you told Ms.
11	Jenkins?
12	A I don't recall telling her that in that
13	meeting. Whatever was regarding the public file and
14	what was placed in the public file in 1997 she would
15	have heard about from her conversations or her notes
16	with regards to Jeff Ramirez who was overseeing what
17	should and shouldn't be in the who was stating what
18	was in the file in `97.
19	Q Even though this says phone conversation
20	with Bill, you don't remember telling her that the
21	report that result reported the results of the
22	November '94 election wasn't placed in the file until

	December 10, 1997?
2	A That, I don't recall telling her that.
3	Now it's possible potentially maybe these notes
4	these were her notes, but they were all from the phone
5	conversation or just other notes she was taking. That
6	I don't know.
7	Q Fair enough. Did you tell her that the
8	report, Supplemental Report for 1993, that reported
9	the results of the November 1990, excuse me. Did you
10	tell her that the Supplemental Reports for 1993 which
11	reported the results of the November, 1992 election
12	was also not placed in the public file until December
13	10, 1997?
14	A That doesn't appear here. I don't have
15	any recollection of telling her that in a phone call.
16	Perhaps
17	Q Did you tell Susan Jenkins that copies of
18	issues programs lists had been placed in KALW's public
19	inspection file on the tenth day of the month of
20	January, April, July and October each year beginning
21	in 1991 and continuing through July of1997?
22	A I had no knowledge of that. That being

1	the case, other than Jeff Ramirez certifying to that
2	that the file was completed in 1997. I had no
3	independent recollection of that, and I wouldn't have
4	told her that.
5	Q Well did you tell her then that you had
6	put all issues programs lists in the various quarterly
7	files for the quarters that began in January of '91
8	and through July of '97 in the public file just
9	recently?
10	A What I had told her was then that what we
11	were doing was bringing the file what they wanted me
12	to do is to bring the file up to date now. We placed,
13	as we know KALW made sure that there was a KALW
14	program guide list and other documents so that there
15	was something in the public file for every quarter
16	from as far back as we could go, it looked like '91,
17	I forget the exact year, right up to current, to 2000.
18	She knew that's what we were doing.
19	Q I'd now like to direct your attention to
20	SFUSD Exhibit 20.
21	JUDGE SIPPEL: Okay, go off the record
22	while he reads it.

1	(whereupon, the above-entitled matter went
2	off the record at 4:34 p.m. and resumed at 4:36 p.m.)
3	MR. SHOOK: Now, according to this
4	Exhibit, you were cc'd on this email. Did you receive
5	this email on or about April 3, 2001?
6	THE WITNESS: Yes, I received this on or
7	about on that date or I guess yes or the next
8	day. Yes.
9	Q Did you read it?
10	A Yes, I did read it.
11	Q On or about April 3, 2001?
12	A Yes.
13	Q I'd like you now to turn your attention to
14	EB Exhibit 27.
15	JUDGE SIPPEL: We're off the record again.
16	(Whereupon, the above-entitled matter went
17	off the record at 4:37 p.m. and resumed at 4:37 p.m.)
18	MR. SHOOK: Did you create EB Exhibit 27?
19	THE WITNESS: Yes, I created this email.
20	Yes.
21	Q Now, it states in there, "Yesterday, I had
22	approximately a one hour phone call with Ernie Sanchez

1	and his associate, Susan Jenkins, relating to the
2	response they were creating to the latest
3	communication from the FCC. The conversation related
4	to what documents were (or should be) in KALW's public
5	file ownership reports, quarterly issue reports and
6	donor lists."
7	Now, just to get our chronology
8	straightened out here, when I look at EB Exhibit 35,
9	Page 2, about halfway through the page, first there's
10	a reference to March 30 and then it skips over to
11	April 2.
12	If you look at the April 2 reference,
ł	
13	you'll notice that next to SMJ, what it reads is work
13 14	you'll notice that next to SMJ, what it reads is work on response to FCC letter including numerous telephone
14	on response to FCC letter including numerous telephone
14 15	on response to FCC letter including numerous telephone conversations with Mr. Helgeson and Ms. Sawaya and Ms.
14 15 16	on response to FCC letter including numerous telephone conversations with Mr. Helgeson and Ms. Sawaya and Ms. Jenkins spent ten hours that day working on these
14 15 16 17	on response to FCC letter including numerous telephone conversations with Mr. Helgeson and Ms. Sawaya and Ms. Jenkins spent ten hours that day working on these matters.
14 15 16 17	on response to FCC letter including numerous telephone conversations with Mr. Helgeson and Ms. Sawaya and Ms. Jenkins spent ten hours that day working on these matters. I just wanted to clarify whether or not EB

So when there's a reference to yesterday,

	presume that what we're talking about is Monday,
2	April 2 as opposed to Sunday, April 1.
3	A Yes. I'm trying to look I was looking
4	on this one email to see if it was dated in some way.
5	That might be at the top line.
6	Q The top line.
7	A Yes.
8	Q The top line bears a date of April 3.
9	A I sent it to Nicole on it looks like I
10	sent this to Nicole on April the afternoon of April
11	3 and I was referring to Tuesday, April 2.
12	Q No matter how hard we try, I don't think
13	we can make April 2 a Tuesday.
14	A Okay.
15	MR. DUNCAN: Mr. Helgeson doesn't have a
16	calendar in front of him. But what you need to know,
17	Mr. Helgeson, is that the 3 rd was a Tuesday and the 2 nd
18	was a Monday.
19	THE WITNESS: 2 nd was Monday, so
20	MR. DUNCAN: According to Mr. Shook, who
21	I trust.
22	THE WITNESS: Yes. Okay.

1 MR. SHOOK: Very dangerous. The 2nd is a Monday. 2 THE WITNESS: Yes. So obviously, I shouldn't have -- that was me making 3 4 a mistake saying Tuesday, April 2. BY MR. SHOOK: 5 All right. So, with EB 27 and EB 35, Page 6 Q 7 2, the reference to April 2, does that help place in 8 time that you had either one or more conversations 9 with Susan Jenkins on April 2 concerning the FCC 10 letter and the response to it? 11 Α I would imagine that that is the letter 12 that I'm -- that's the phone call that I'm referring 13 to here where I spoke for approximately one hour with 14 Ernest Sanchez and his assistant. 15 You spoke with them both? You spoke with 16 them together? Yes. That's what I'm saying in this memo. 17 18 I had an approximately one-hour call with Ernie Sanchez and his associate, Susan Jenkins. So perhaps 19 20 at some point, I -- it was a call and whether they 21 were -- they may have both been on it at the same time 22 or one was on it and then the other was on it.

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1	Now you had was it just one phone call
2	or did you have more than one phone call?
3	A I can't I don't recall what how many
4	conversations that I had more than one call with
5	them. My immediate recollection was the next day
6	where I said I had a one-hour phone call. So, if
7	there was more calls, I don't recall if there was more
8	than one.
9	Q Now, in terms of the email, EB 27, the
10	last sentence, which states "The conversation related
11	to what documents were or should be in KALW's public
12	file" and then it goes "ownership reports, quarterly
13	issue reports and donor lists," did there did you
14	tell Nicole Sawaya on or about April 3 April 2 or
15	April 3, what issues programs lists were in the public
16	file at that point?
17	A I don't recall at this point what
18	having that conversation with her. I my
19	recollection is by that time, the public file was in
20	her office. We had moved the documents from the
21	public file from that four-drawer file cabinet out in
22	the outer office and they'd been moved into her

1	office.
2	Given the space of that office, I imagine
3	that she was aware of the public the status of the
4	Public File documents we'd been preparing by that
5	time. It seemed from her March 26 email to Ernie that
6	she was aware of it where she mentions the Public
7	File.
8	Q I'd like to now direct your attention to
9	SFUSD Exhibit No. 9.
10	JUDGE SIPPEL: Why don't we let's go
11	off the record while he reads.
12	(Whereupon, the above-entitled matter went
13	off the record at 4:45 p.m. and resumed at 4:46 p.m.)
14	MR. SHOOK: Did you create SFUSD Exhibit
15	No. 9?
16	THE WITNESS: I created this email. Yes,
17	I did.
18	MR. SHOOK
19	Q Could you tell us how you derived the
20	information that appears in the Exhibit?
21	A Well, this the information that's
22	contained here all happened and relates to a time when